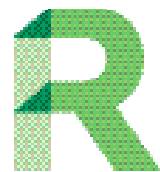


THE CLEARING CORPORATION CHARITABLE FOUNDATION FELLOWS PROGRAM

ANNUAL REPORT | 2016–2017



ROOSEVELT UNIVERSITY
HELLER COLLEGE
OF BUSINESS





Dear Colleagues and Friends,

It is my pleasure, as the new dean of the Heller College of Business (HCB) at Roosevelt University, to highlight the achievements of the fellowship program led by Professor Tanweer Hasan and funded by the generous support of the Clearing Corporation Charitable Foundation (CCCF). It has been the goal of the CCCF Fellows Program to build the next generation of highly skilled and responsible finance professionals to represent the quality education and success stories at the Heller College of Business.

Professor Hasan has initiated the program in 2015, and persistently cultivated funding opportunities and recruited qualified students for the program. He developed a partnership with the CCCF and has continuously expanded the outstanding program for professional development for a qualified group of students in finance and related areas.

The program offers the fellows a number of highly valuable opportunities such as carefully designated courses, relevant professional mentoring, CFA relevant programs, and experiential learning in financial analysis using Bloomberg terminals, so that they can earn professional certification in Bloomberg Market Concepts (BMC), the hallmark of quality expertise in financial areas.

As the outgrowth of this program, it was particularly gratifying to start my tenure at HCB, and to accompany President Malekzadeh, Chief Development Officer Don Jones, Senior Development Director Juliana Nelligan, and Dr. Hasan at Roosevelt University, as well as the CCCF Trustee David Johnson and the Chair of HCB Business Advisory Board, Daniel Schuette, by welcoming a contribution in the amount of \$1,040,000.00 of additional funding, recently on July 25th from CCCF to advance the program into the *CCCF Fellows Business Honors Program*. This additional funding support will deepen our commitment in preparing our students for future leadership roles in finance and risk management areas. Fellow students in this new program can be distinguished by completing advanced-level courses, higher level professional programs, thought leadership, and gaining higher proficiency in financial knowledge and skills that qualify them for high-level professional careers in finance areas.

I invite you to review more detailed information about the program, its growth, and the achievements of fellow students provided in the following pages of this report. I am grateful to a group of highly dedicated faculty and staff led by Professor Hasan who have been behind the success of this program, and look forward to working with them to advancing the program to a higher level.

Sincerely,

Asghar Sabbaghi, PhD
Dean and Professor



Dear readers,

It is a genuine pleasure to present to you the 2nd annual report of The Clearing Corporation Charitable Foundation (CCCF) Fellows Program. The goal of the program is to build the next generation of highly skilled finance professionals to continue the legacy of success at the Heller College of Business. The CCCF Fellows Program provides undergraduate business students interested in finance and related careers the resources, tools and guidance needed to successfully reach their professional

goals. Each CCCF Fellow receives a cash stipend, paid professional development opportunities and help to obtain internship placements as part of a \$132,000 gift from the CCCF for the 2016-17 academic year. The CCCF Fellows Program ensures that the fellows have the exposure to relevant courses in their respective functional areas of interest, attend several carefully designed professional development

CATEGORY	NUMBER	PERCENT OF TOTAL
Female	7	35%
Hispanic	3	15%
African	2	10%
1st Generation College Student	11	55%

workshops, have access to professionals from the industry as mentors, are well-versed in Bloomberg terminals, and obtain certification in BMC.

A cohort of 20 students was selected as the CCCF Fellows during fall 2016 for the 2016-17 academic year. The CCCF Fellows are a diverse group of

students from various backgrounds, as the long-term objective of this program is to promote diversity in the financial sector workforce. The demographic breakdown of the CCCF Fellows organization is displayed in the above chart.

It has been a fascinating personal and professional experience for me to work with a group of sharp, hardworking students this year. I look forward to working with the next cohort of CCCF Fellows in the 2017-18 academic year.

Happy reading!

Sincerely,

Tanweer Hasan, PhD
Executive Director, CCCF Fellows Program
Heller College of Business, Roosevelt University

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ORGANIZATIONAL STRUCTURE

STAFF



DR. TANWEER HASAN
Executive Director
CCCF Fellows Program



IMMANUEL HOWELL-BEY
Senior Secretary



TATIANA SOROKINA
Graduate Assistant

THE CCCF FELLOWS: 2016-2017



EDGAR ABREGO



MONICA ACOSTA



DAVID BANKS



STEPHEN BISHOP



CLAYTON BUCHANAN



REBECCA FIORETTO



NEIL FLOOD



MORGAN HIGGS



ANASTASIA LUCA

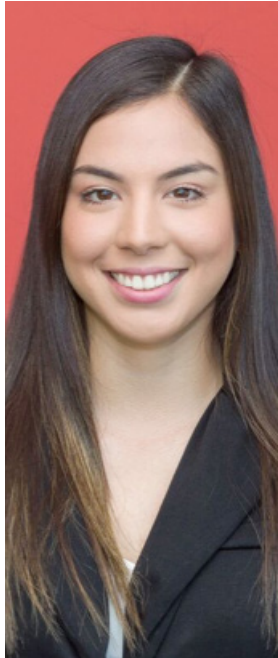


ALEXANDRO MARTINEZ

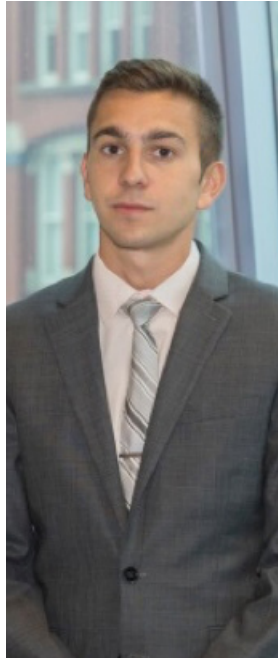
THE CCCF FELLOWS: 2016-2017



**JOSEPH
MOLINA**



**ANDREA
MUNOZ**



**KRISTIAN
NAOUMOV**



**BRENDAN
REMINGTON**



**CAMERON
SIMAK**



**LUCA
STOJANOVIC**



**TREVOR
SVETIC**



**AMY
VOS**



**ALLANTE
WALLACE**



**AIMEE
ZUNIGA**

INDUCTION CEREMONY OF 2016-2017 FELLOWS

Twenty undergraduate business students were selected as CCCF Fellows for the 2016-17 academic year. The induction ceremony was held on Oct. 14, 2016. The keynote speaker at the event was Mr. John O. Keshner, managing director, senior client investment officer and practice lead, Multi-Manager Solutions Group at Northern Trust. The attendees included Lois Becker, provost and executive vice president of academic affairs at Roosevelt University, vice provost Samuel Rosenberg, professor Joe Chan, HCB faculty members, volunteer mentor industry professionals, and a number of fellows from the 2015-16 cohort. The luncheon was co-sponsored by MB Financial Bank and the Marshall Bennett Institute of Real Estate at Roosevelt.



PROFESSIONAL DEVELOPMENT: CAREER FAIR

In late September 2016, the CCCF Fellows attended a career fair organized by the Heller College of Business (HCB). The fair presented great opportunities to build professional networks, practice interview skills and meet potential employers from various companies in the greater Chicago area.



PROFESSIONAL DEVELOPMENT: RESUME WRITING WORKSHOP

In the Resume Writing Workshop, the CCCF Fellows were coached on how to put together effective resumes, cover letters, and follow up on employment-related correspondence.



PROFESSIONAL DEVELOPMENT: MICROSOFT EXCEL

The CCCF Fellows were required to attend two three-hour instructional sessions on basic and advanced Microsoft Excel. The objective was to provide the fellows with rigorous training on MS Excel to enhance their ability to succeed in the corporate environment.



PROFESSIONAL DEVELOPMENT: INTERVIEW SKILLS

The Workshop on Interview Skills provided the fellows with an opportunity to learn how to make the first impression on a potential employer, talk about their professional training/strength, and increase the chance of being hired.

PROFESSIONAL DEVELOPMENT: BLOOMBERG CERTIFICATION

All CCCF Fellows are required to obtain Bloomberg Market Concept (BMC) certification using the Bloomberg terminals available at the Heller College of Business. The BMC is a six-hour self-paced e-learning program that provides introduction to financial markets. The training also helped the students gain a thorough understanding of the Bloomberg terminals and their functionality. Upon completing the program, the CCCF Fellows received a certificate of completion.



PROFESSIONAL SPEAKERS' SERIES

The Professional Speakers' Series, a series of lectures given by industry professionals, is an integral part of the CCCF Fellows Program. The fellows attended several events on and off campus throughout the academic year. On Sept. 22, 2016, Janeen McGreal, vice president of finance & administration at Glenstar, discussed her professional experience with the members of the Finance & Investment Club at HCB, gave them insight into her field and talked about new initiatives of the Financial Executive International (FEI) Chicago Chapter. McGreal's unique skill set combines the language and intricacies of finance with winning strategies for managing human capital.



PROFESSIONAL SPEAKERS' SERIES

On Oct. 28, 2016 KimLoan Tran, a senior consultant at Deloitte's Human Capital Organization Transformation and Talent Practice, spoke to the CCCF Fellows and other business students. Tran is passionate about people development and culture transformation. Her work experience includes working for the government, non-profit, mental health and educational organizations.



PROFESSIONAL SPEAKERS' SERIES

On March 1, 2017, the CCCF Fellows attended a CFA Distinguished Speaker Series at the Metropolitan Club of Chicago. The featured speaker was Richard Driehaus, a well-known financial investor and philanthropist. Driehaus spoke on the evolution of the financial markets. This off-campus event gave the fellows an opportunity to network with a number of industry professionals from various companies in the Chicagoland area.



On April 19, 2017, the CCCF Fellows Program welcomed two speakers on campus — Dawn Romei, senior vice president, the head of HR and strategy & change at Northern Trust; and David Rubin, senior managing director and group head of the Capital Markets Group at MB Financial Bank. Both speakers reflected on their professional lives, training and career paths with the fellows.



MENTORING PROGRAM FOR THE CCCF FELLOWS

Fifteen industry professionals volunteered their time to serve as mentors to the CCCF Fellows during the 2017-18 academic year. The CCCF Fellows Program is deeply indebted to these mentors for their time, effort and goodwill. A list of all mentors can be found on pages 18-19.

Mentors and mentees maintained contact throughout the academic year which involved (1) one-on-one interactions, (2) communications via email, (3) mentee visits to mentors' workplaces, etc. Furthermore, two formal meetings between the mentors and mentees took place on campus during the 2017-18 academic year — the Mentor-Mentee Breakfast Social on March 17 and Evening Social on April 26. The mentors shared their expertise on professional growth and engaged the students in career development discussions at both events.



MENTORING PROGRAM FOR THE CCCF FELLOWS

Both events served as an excellent platform for the fellows to ask specific questions on various aspects of career development.



CCCF FELLOW WINS SCHOLARSHIP FROM FINANCIAL EXECUTIVE INTERNATIONAL (FEI), CHICAGO CHAPTER



Allante Wallace was recognized by the FEI Chicago Chapter for his outstanding academic achievements in February 2017. Candidates for the scholarship awards were nominated from eight different colleges/universities in the greater Chicago area, including the University of Illinois Urbana-Champaign, Loyola University Chicago, Aurora University, Dominican University, DePaul University, Northern Illinois University, University of Illinois at Chicago, and Roosevelt University. Wallace received the highest scholarship amount of \$2,200.



CCCF FELLOWS COMPETE IN TRADING CHALLENGE COMPETITION

The Chicago Mercantile Exchange (CME) Group organizes an annual Trading Challenge competition for university students around the world to trade assets and products from various classes in a simulated trading environment. This is done by using a real-time trading platform provided by CQG, Inc. to help students become acquainted with the software and trading environment. This year, 226 schools from 37 countries competed in 500 teams against one another. A number of CCCF Fellows participated in this event.

STUDENT EVENING SOCIAL

CCCF Fellows enjoyed an evening social with the students from the Finance & Investment Club of Heller College of Business on April 7, 2017 at the Chicago Athletic Association Hotel. The objectives of this social were for the fellows to get to know each other in an informal setting and to celebrate the end of the 2017-18 academic year.



INTERNSHIP PLACEMENTS

The CCCF Fellows are expected to obtain internships as part of the program. At the time of writing this report, 18 out of 20 fellows have been placed in internship positions at the institutions listed below:

FELLOW	INTERNSHIP
Edgar Abrego	TBA
Monica Acosta	Office of the Comptroller of the Currency
David Banks	Hawk Research Laboratories, LLC.
Stephen Bishop	A Safe Haven Foundation/Treasury Management Association Chicago (TMAC)
Clayton E. Buchanan	Groupon
Rebecca Fioretto	First Banks of Highland Park
Neil Flood	Ball Design Company
Morgan Higgs	Athletes in Action
Anastasia Luca	Aon Service Corporation
Alexandro Martinez	Crowe Horwath
Joseph R. Molina	SmithBucklin
Andrea Munoz	South Holland Bank & Trust
Kristian Naoumov	Millennium Trust Company
Brendan Remington	Northwestern Mutual
Cameron Simak	Great American Insurance Group
Luca Stojanovic	Dhuwan, Inc.
Trevor S. Svetic	Carol Stream Parks
Amy Vos	The Horton Group, Inc.
Allante Wallace	Treasury Management Association Chicago (TMAC)
Aimee Zuniga	TBA

TESTIMONIALS: CCCF FELLOWS PROGRAM

“The CCCF Program opened the door for my dream job and I received it. I’m so excited to start it soon, learn new skills and be a part of an amazing team. Thank you for all of your team help and support.” — Anastasia Luca

“Without this program, I would not have been able to get an internship, become Bloomberg and Microsoft Excel certified, as well as attend numerous workshops where I have made professional connections. This program has readied me for my life after college, and has played a crucial part in my successes.” — Amy Vos

“I want to express my sincere gratitude for the generosity of the Clearing Corporation Charitable Foundation, and my honor in being selected as a CCCF Fellow. The result of this gift has been tremendous for the professional development of myself and that of my peers. Since our selection as fellows, we have had the opportunity to participate in several workshops designed to improve professional development skills. The interview and elevator pitch workshops were helpful in the continued improvement of communication skills and the ability to market oneself. My favorite workshops were the Microsoft Excel workshops, which provided necessary skills especially useful with my internship with the Department of the Treasury.” — Monica Acosta

“Since joining CCCF I have completed my first internship with the Treasury Management Association of Chicago. Just yesterday, I received the Financial Executives International scholarship, which now has me networking with CFOs and VPs all across Chicagoland. I honestly believe none of these things would have happened if it not were for you all.” — Allante Wallace

“It has been an amazing learning experience so far. During this program I have received my certification on Bloomberg terminals, as well as gained more experience utilizing Excel from the various workshops that this program has to offer. I am also excited to tell you I met my current mentor during the inauguration ceremony and she has placed me at my internship working for the Treasury Management Association of Chicago. I am very pleased with this program and the doors it has opened for myself since I have transferred to Roosevelt. Once again, this has been an amazing opportunity and I thank you.” — Stephen Bishop

MENTORS

The following professionals volunteered their time as mentors to the CCCF Fellows Program during the 2016-17 academic year:



HEATHER ALGER
PNC Capital Markets



LESLIE ANDREWS
Computer Systems Institute



GUADALUPE COTTO
PricewaterhouseCoopers



DEBORAH EVELYN
BMO Financial Group



JAN PAUL FERRER
Morgan Stanley
Wealth Management



AMYLEE HARRIS
BMO Harris Bank
(formerly)



TRICIA KELLY
McDonald's Corporation



AMBROSIA MCCLAIN
Health Care Services Coporation

MENTORS



HARRY PETRAKOS
Marriott International



DAWN ROMEI
Northern Trust



DAVID RUBIN
MB Financial Bank



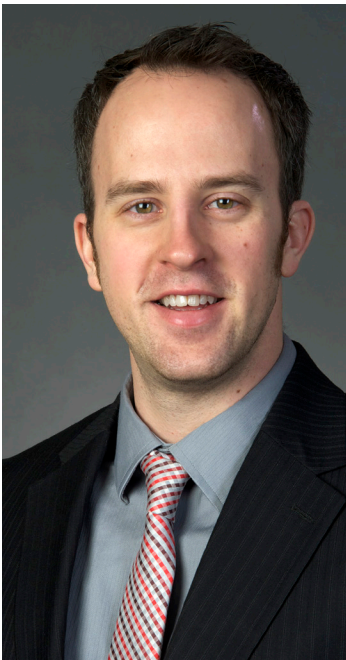
DANIEL SCHUTTE
PNC Bank



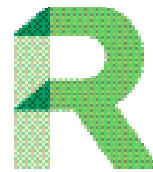
TRACY TRICE-WATTS
Health Care Services
Corporation



PATRICIA YANCY
Health Care Services
Corporation



EVAN WITKOWSKI
Northern Trust



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