NOTICE TO INTERESTED PARTIES

1. Notice To: All Employees of Roosevelt University

An application is to be made to the Internal Revenue Service for a
determination on the qualification of the following employee pension benefit plan:

2. Name of Plan: Roosevelt University Retirement Plan

3. Plan Number: 003

4. Name and Address of Applicant:

Roosevelt University
430 South Michigan Avenue
Chicago, IL 60605

5. Applicant EIN: 36-2167854

6. Name and Address of Plan Administrator:

Roosevelt University
430 South Michigan Avenue
Chicago, IL 60605

7. The application will be filed on February 2, 2015 with the Internal Revenue Service, P.O. Box 12192, Covington, KY 41012-0192, for an advance determination as to whether the plan continues to qualify under Section 401(a) of the Internal Revenue Code of 1986.

8. The employees who are eligible to participate under the plan are: certain full-time tenured or tenure track faculty members, certain full-time non-tenure track faculty members and certain administrators (in each case as provided in the Plan) who complete one (1) year of service. Employees whose employment is governed by the terms of a collective bargaining agreement are not eligible to participate in the Plan.

9. The Internal Revenue Service has previously issued a favorable determination letter with respect to the qualification of this plan.

RIGHTS OF INTERESTED PARTIES

10. You have the right to submit to EP Determinations, at the above address, either individually or jointly with other interested parties, your comments as to whether this plan meets the qualification requirements of the Internal Revenue Code.
You may instead, individually or jointly with other interested parties, request the Department of Labor to submit, on your behalf, comments to EP Determinations regarding qualification of the plan. If the Department declines to comment on all or some of the matters you raise, you may, individually, or jointly if your request was made to the Department jointly, submit your comments on these matters directly to EP Determinations.

REQUESTS FOR COMMENTS
BY THE DEPARTMENT OF LABOR

11. The Department of Labor may not comment on behalf of interested parties unless requested to do so by the lesser of ten (10) employees or ten percent (10%) of the employees who qualify as interested parties. The number of persons needed for the Department to comment with respect to this plan is ten (10). If you request the Department to comment, your comment must be in writing and must specify the matters upon which comments are requested, and must also include:

(a) the information contained in items 2 through 5 of this Notice; and
(b) the number of persons needed for the Department to comment.

A request to the Department to comment should be addressed as follows:

Deputy Assistant Secretary
Employee Benefits Security Administration
ATTN: 3001 Comment Request
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

COMMENTS TO THE
INTERNAL REVENUE SERVICE

12. Comments submitted by you to EP Determinations must be in writing and received by it by March 19, 2015. However, if there are matters that you request the Department of Labor to comment upon on your behalf, and the Department declines, you may submit comments on these matters to EP Determinations to be received by it within fifteen (15) days from the time the Department notifies you that it will not comment on a particular matter, or by March 19, 2015, whichever is later, but not after April 3, 2015. A request to the Department to comment on your behalf must be received by February 17, 2015 if you wish to preserve your right to comment on a matter upon which the Department declines to comment, or by February 27, 2015 if you wish to waive that right.
ADDITIONAL INFORMATION

13. Detailed instructions regarding the requirements for notification of interested parties may be found in Sections 17 and 18 of Revenue Procedure 2013-6. Additional information concerning this application (including, where applicable, an updated copy of the plan and related trust; the application for determination; any additional documents dealing with the application that have been submitted to the Service; and copies of Section 17 of Revenue Procedure 2013-6) are available at Roosevelt University, Human Resources Department, 430 South Michigan Avenue, Chicago, Illinois 60605, during regular business hours for inspection and copying. (There is a nominal charge for copying and/or mailing.)